



## DECLARATION

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: PEPTIDE BETA-STRAND MIMICS BASED ON PYRIDINONES, PYRAZINONES, PYRIDAZINONES, AND TRIAZINONES the specification of which        is attached hereto or        was filed on February 24, 2004 as Application No. 10/786,615 and was amended on                          (if applicable).

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

### Prior Foreign Application(s)

Country	Application No.	Date of Filing	Priority Claimed Under 35 USC 119

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Application No.	Filing Date

I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.	Date of Filing	Status

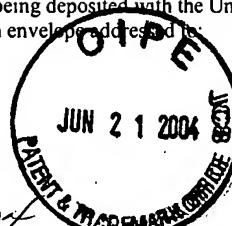
Full Name of Inventor 1:	Last Name: <b>BARTLETT</b>	First Name: <b>PAUL</b>	Middle Name or Initial: <b>A.</b>
Residence & Citizenship:	City: <b>Oakland</b>	State/Foreign Country: <b>California</b>	Country of Citizenship: <b>United States</b>
Post Office Address:	Post Office Address: <b>6435 Swainland Road</b>	City: <b>Oakland</b>	State/Country: <b>California</b> Postal Code: <b>94611</b>
Full Name of Inventor 2:	Last Name: <b>HAMMOND</b>	First Name: <b>MING</b>	Middle Name or Initial: <b>Chen</b>
Residence & Citizenship:	City: <b>Alameda</b>	State/Foreign Country: <b>California</b>	Country of Citizenship: <b>United States</b>
Post Office Address:	Post Office Address: <b>2101 Shoreline Drive, Unit 480</b>	City: <b>Alameda</b>	State/Country: <b>California</b> Postal Code: <b>94501</b>

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1	Signature of Inventor 2
 Paul A. Bartlett Date 27 May 2004	 Ming Chen Hammond Date 5/27/04

60224571 v1

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450



on June 16, 2004  
TOWNSEND and TOWNSEND and CREW LLP  
By M. Heines

PATENT  
Attorney Docket No.: 02307V-  
142800US  
UC Case No. B04-048

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Paul A. Barlett et al.

Application No.: 10/786,615

Filed: February 24, 2004

For: PEPTIDE BETA-STRAND MIMICS  
BASED ON PYRIDINONES,  
PYRAZINONES, PYRIDAZINONES,  
AND TRIAZINONES

**POWER OF ATTORNEY BY ASSIGNEE**  
**AND EXCLUSION OF INVENTOR(S) UNDER 37 CFR § 3.71**

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The undersigned assignee of the entire interest in the above-identified subject application hereby appoints Kevin L. Bastian, Reg. No. 34,774; M. Henry Heines, Reg. No. 28,219; Laurence J. Hyman, Reg. No. 35,551; Jean M. Lockyer, Reg. No. 44,879; Jeffry S. Mann, Reg. No. 42,837; Annette S. Parent, Reg. No. 42,058; William M. Smith, Reg. No.

30,223; Joseph R. Snyder, Reg. No. 39,381; Eugenia Garrett-Wackowski, Reg. No. 37,330; Ellen Lauver Weber, Reg. No. 32,762; Kenneth A. Weber, Reg. No. 31,677; all of the firm of Townsend and Townsend and Crew LLP, as its attorneys to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, said appointment to be to the exclusion of the inventors and their attorney(s) in accordance with 37 CFR § 3.71.

An assignment of the entire interest in the above-identified subject application:

was recorded on \_\_\_\_\_ at reel/frame  
\_\_\_\_\_/\_\_\_\_\_.

is being concurrently submitted under separate cover for recording.

Please direct all telephone calls to M. Henry Heines and all correspondence relative to said application to the following address:

Townsend and Townsend and Crew LLP  
Two Embarcadero Center, Eighth Floor  
San Francisco, California 94111-3834

ASSIGNEE: THE REGENTS OF THE  
UNIVERSITY OF CALIFORNIA

Signature: Carol Mimura

Typed Name: Carol Mimura

Title: Director

Office of Technology Licensing  
2150 Shattuck Ave., Suite 510  
Berkeley, CA 94720-1620

Dated: 6/4/04

Attorney Docket No. 02307V-142800US  
UC Case No. B04-048**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: PAUL A. BARTLETTApplication No./Patent No.: 10/786,615 Filed/Issue Date: February 24, 2004Entitled: PEPTIDE BETA-STRAND MIMICS BASED ON PYRIDINONES, PYRAZINONES, PYRIDAZINONES, AND TRIAZINONESThe Regents of the University of California, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

- the assignee of the entire right, title, and interest; or
- an assignee of less than the entire right, title and interest.

The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A.  An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

**OR**

- B.  A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To : \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To : \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To : \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached. Additional documents in the chain of title are listed on a supplemental sheet. Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

6/4/04

Date

(516) 643-7201

Telephone number

Carol Mimura

Typed or printed name

Carol Mimura

Signature

Director

Title

**ASSIGNMENT**

U.C.B. Case No. B04-048

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Paul A. Bartlett \_\_\_\_\_ 2. Ming Chen Hammond \_\_\_\_\_  
3. \_\_\_\_\_ 4. \_\_\_\_\_

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin St., 12<sup>th</sup> Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the UCB Case No. B04-048 entitled:

PEPTIDE BETA-STRAND MIMICS BASED ON PYRIDINONES, PYRAZINONES, PYRIDAZINONES, AND TRIAZINONES

or which is found in

- (a) \_\_\_\_\_ U.S. provisional application filed herewith and listing the above-named persons as inventors  
(b) \_\_\_\_\_ U.S. patent application filed herewith and listing the above-named persons as inventors  
(c) X \_\_\_\_\_ U.S. application serial no. 10/786,615, filed on February 24, 2004  
(d) \_\_\_\_\_ U.S. Patent No.: \_\_\_\_\_, issued \_\_\_\_\_

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

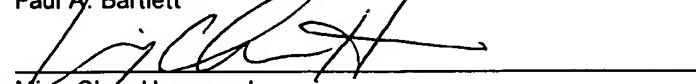
ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

27<sup>th</sup> day of May, 2004

Signature of Inventor(s)

  
Paul A. Bartlett  
  
Ming Chen Hammond

\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_

\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_